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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>CISCP621</u>
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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, VINCENT K. JONES et al., entitled SYSTEMS AND METHODS FOR IMPROVED MEDIUM ACCESS CONTROL MESSAGING, for a(n):

- (X) Original Patent Application.
- ( ) Continuing Application (prior application not abandoned):
- ( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_.
  - ( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification; 19 Total Pages. (X) Drawing(s); 6 Total Sheets.
- ( ) Oath or Declaration:
- ( ) A Newly Executed Combined Declaration and Power of Attorney:
    - ( ) Signed. ( ) Unsigned. ( ) Partially Signed.
  - ( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
    - ( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
    - ( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
  - ( ) Power of Attorney. (X) Return Receipt Postcard.
  - ( ) Associate Power of Attorney. ( ) A Check in the amount of \$0.00 or the Filing Fee.
  - ( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.
  - ( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
  - ( ) A Certified Copy of Priority Documents (if foreign priority is claimed).
  - ( ) Statement(s) of Status as a Small Entity.
  - ( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
  - ( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	17	0	\$18.00	\$ 0.00
Independent Claims	5	2	\$78.00	\$ 156.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$760.00
Total Filing Fee				\$ 916.00

Charge \$0.00 to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

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**PATENT APPLICATION**  
**SYSTEMS AND METHODS FOR IMPROVED MEDIUM**  
**ACCESS CONTROL MESSAGING**

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(TDMA) system and is but one example of the use of MAC messages to coordinate access to a shared medium.

Consider now that data transmission between the access point and subscriber units may be subject to error due to noise and/or external interference. The communication system may employ encoding processes and/or a repeat request system to reduce or eliminate such errors but nonetheless some data will be received incorrectly and never corrected. The result may be corrupted or missing data at the receiver side. However, if a MAC message is received incorrectly, the consequences will be far more severe. For example, if even one of multiple subscriber units receives a scheduling message in error, it may lose the opportunity to transmit at all until the next scheduling message, or it may violate the established time slot allocation and interfere with other scheduled transmissions. If an access request message is received incorrectly at the access point, the scheduling message may inaccurately reflect the traffic demands of the subscriber unit transmitting the access request message. The result is that even a very small corruption of data in a MAC message may cause the corruption or loss of a large amount of non-MAC related data.

What is needed is a system for transmitting MAC data without corruption even in a severe environment.

## SUMMARY OF THE INVENTION

Systems and methods for communicating medium access control (MAC) data without errors are provided by virtue of the present invention. Different encoding processes may be used to encode MAC data and data unrelated to MAC. The encoding processes used for MAC data employ more redundancy and are therefore capable of transmitting data without errors in more severe conditions than the encoding processes used for non-MAC data. Both MAC data and non-MAC data may be represented as a series of so-called symbols where each symbol is a complex value used to modulate a sinusoidal carrier signal. MAC data transmissions may employ a set of symbols having more widely spaced complex values than the symbol set used for non-MAC data transmissions. While the receiver is receiving MAC data, it may more easily distinguish which symbols have been sent, even when the symbols have been heavily corrupted with noise and interference. Thus network operation may be properly coordinated even in the presence of severe degradation of data transmission quality. One application is fading wireless channels where there are periods of severe degradation as channel characteristics vary.

According to a first aspect of the present invention, in a digital communication system employing a transmission medium shared among multiple users, a transmitter system includes: a first encoder that encodes data related to coordinating access to the transmission medium according to a first encoding scheme, a second encoder that encodes data not related to coordinating access to the transmission medium according to a second encoding scheme, and a control system that allocates transmission time between output of the first encoder and the second encoder. The first encoding scheme introduces more redundancy than the second encoding scheme.

According to a second aspect of the present invention, in a digital communication system employing a transmission medium shared among multiple users, a transmitter system includes: a first mapper that outputs complex symbol values falling on a first symbol constellation responsive to data relating to coordinating access to the transmission medium, a second mapper that outputs complex symbol values falling on a second symbol constellation responsive to data not relating to coordinating access to the transmission medium, and a control system that allocates transmission time between output of the first mapper and output of the second mapper. Complex symbol values of the first symbol constellation are spaced more widely than complex symbol values of the second symbol constellation.

A further understanding of the nature and advantages of the inventions herein may be realized by reference to the remaining portions of the specification and the attached drawings.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 depicts a representative multi-user communications system.

Fig. 2 depicts operation of a MAC protocol.

5 Fig. 3 depicts a transmitter system according to one embodiment of the present invention.

Fig. 4 depicts a transmitter system according to an alternative embodiment of the present invention.

10 Figs. 5A – 5B depict a symbol constellation employed for non-MAC data transmission and a symbol constellation employed for MAC data transmission according to one embodiment of the present invention.

Fig. 6 depicts a receiver system according to one embodiment of the present invention.

## DESCRIPTION OF SPECIFIC EMBODIMENTS

Fig. 1 depicts a representative multi-user communications system 100. Individual subscriber units 102 communicate with a central access point 104. Spectrum for communication may be shared among the subscriber units 102 and central access point 104. For example, subscriber units 102 and central access point 104 may all use a common frequency for transmission with only one transmitter being operational during any given time interval. Multiple frequencies may also be shared among many users. Alternatively, subscriber units 102 may share a common frequency for transmission up to central access point 104 while central access point 104 uses a different frequency for transmission down to subscriber units 102.

Optimal usage of the available spectrum typically requires coordination of transmission so that available time slots for transmission are used and so that transmitters do not interfere with one another by transmitting at the same time. A MAC (medium access contention) protocol provides this coordination. Some MAC protocols do not provide for central coordination but rather anticipate that collisions (incidents of simultaneous interfering transmission) will occur and provide mechanisms to handle them. Other MAC protocols involve requests for access to central access point 104 and distribution of control information.

Fig. 2 depicts operation of a representative MAC protocol where central access point 104 and subscriber units 102 and where only one transmitter transmits during any time interval. A diagram 202 shows the allocation of time slots. A first group of time slots 204 labeled "AR" are dedicated to subscriber unit access requests. Each time slot "AR" represents an individual one of subscriber units 102 sending a request to central access point 104. The MAC protocol reserves this block of time for access requests. However, which subscriber units will request access in



one of the AR slots cannot be mandated in advance so there may be collisions here. A next time slot 206 is reserved for a scheduling message transmitted by central access point 104. The scheduling message tells the individual subscriber units 102 that have request access when they may transmit. Further time slots operate according to this schedule. A time slot 208 includes a transmission by a subscriber unit 4. A time slot 210 includes a transmission by a subscriber unit 3. A next time slot 212 is reserved for transmission by central access point 104. Then, there are further time slots 214 reserved for transmission by subscriber units 1, 7, 6, and 1 in that order. A subscriber unit data transmission may also include a further request for access to central access point 104.

The access requests and scheduling message are examples of MAC protocol messages. MAC protocol messages represent vulnerabilities for a communication system because noise and/or interference that corrupts a MAC protocol message to the point that it cannot be correctly received will also disrupt communication coordinated by that MAC protocol message. For example, if an access request is not received correctly at central access point 104, a subscriber unit will not get the opportunity to transmit data to be transmitted. This may cause either lost data or an unacceptable increase in latency for latency-sensitive traffic such as voice. If a scheduling message is received incorrectly, an individual subscriber unit may not realize that it has been allocated a transmission opportunity, again causing either lost data or excessive latency. Another possible effect is that the subscriber unit may erroneously believe that it has been allocated a particular time slot for transmission that was actually allocated to another subscriber unit. A protocol violating collision may then occur when both subscriber units attempt to transmit at the same time.

According to the present invention, MAC protocol messages may be protected through the use of coding and/or modulation techniques that are more resistant to channel impairments. These techniques may trade away data transmission efficiency for resistance to noise and/or interference for the MAC data. The modulation and/or coding techniques used for non-MAC related transmissions will achieve higher data transmission efficiency at the cost of greater sensitivity to channel impairments.

Fig. 3 depicts a transmitter system 300 according to one embodiment of the present invention where channel coding techniques are varied according to whether data to be transmitted is MAC data or non-MAC data. In general, channel coding techniques introduce redundancy into data transmission to increase resistance to channel impairments. According to the present invention, the amount of redundancy introduced is elevated for MAC transmissions.

A MAC processor 302 originates MAC data. If transmitter system 300 is a part of central access point 104, the MAC data may include scheduling messages. If transmitter system 302 is incorporated within one of subscriber units 102, the MAC data may include access requests. The MAC data is input to, e.g., a Reed-Solomon encoder 304. Reed-Solomon encoder 304 is a type of block coder and outputs  $s_1$  bits of data for every input  $r_1$  bits of input data. Since  $s_1$  is greater than  $r_1$ , Reed-Solomon encoder 304 introduces redundancy. A convolutional encoder 306 introduces further redundancy. For each  $k_1$  input bits, convolutional encoder 306 outputs  $n_1$  bits. A constellation mapper 308 assigns complex values to groups of input bits. The output of constellation mapper 308 is a series of such complex values, referred to as symbols. Each symbol represents a magnitude and phase of a carrier signal to be transmitted over the air.

To implement trellis coded modulation, not all the bits output by Reed-Solomon encoder 304 are input to convolutional coder 306. The symbol alphabet from which constellation mapper

308 selects symbols for transmission is divided into subsets. The bits output by convolutional encoder are used to select a particular subset of the alphabet. The  $a_1$  bits that are output by Reed-Solomon encoder 304 but bypass convolutional encoder 306 are used to select a particular symbol from the selected subset.

5 Non-MAC data may also be subject to an encoding process that in the represented embodiment incorporates both Reed-Solomon coding and trellis coding techniques. A Reed-Solomon encoder 314 outputs  $s_2$  bits for every  $r_2$  input bits. Since Reed-Solomon encoder 314 introduces less redundancy than Reed-Solomon encoder 304, the ratio  $s_2/r_2$  is more than the ratio  $s_1/r_1$ . A convolutional encoder 316 and a constellation mapper 318 operate to implement trellis  
10 coded modulation for the non-MAC data. Again, the trellis coded modulation for the non-MAC data introduces less redundancy than the trellis coded modulation for the MAC data so the rate  $n_2/k_2$  is more than the rate  $n_1/k_1$ . By using convolutional coder output bits to directly select symbols without any subset selection, a convolutional encoding scheme may be implemented as  
15 opposed to trellis coded modulation. Again because of the differing encoder rates, more redundancy is added to the non-MAC data than to the MAC data. In an alternative embodiment, encoding steps that operate on the MAC data may be omitted entirely from the processing of non-MAC data.

A MAC/non-MAC switch 320 selects between MAC data and non-MAC data under the control of MAC processor 302. The switch state depends on whether the current time interval is  
20 allocated to transmission of MAC data. A conversion system 322 then converts the selected complex symbol values to analog form and modulates a radio frequency (RF) carrier wave for transmission via an antenna 324.

Fig. 3 is merely representative of encoding processes that may be varied to protect MAC messages. A useful general discussion of error control in communication systems may be found in Wicker, Error Control Systems for Digital Communication and Storage, (Prentice Hall, 1995), the contents of which are herein incorporated by reference.

5           The present invention may also operate in conjunction with OFDM systems where the available transmission spectrum is divided into frequency domain subchannels and the complex values output by the constellation mappers 308 and 310 represent symbols transmitted within individual frequency domain subchannels. An IFFT process is then applied to groups of symbols output by the constellation mappers to produce successive bursts of time domain symbols. To  
10 assure orthogonality of individual frequency domain subchannels in the face of dispersive channel effects, a cyclic prefix may be affixed to the individual time domain bursts.

It is also possible for individual subscriber units employing OFDM to transmit simultaneously by using different frequency domain subchannels. A technique for having multiple subscriber units simultaneously transmit access requests by using different frequency  
15 domain subchannels is disclosed in the application entitled MEDIUM ACCESS CONTROL FOR OFDM WIRELESS NETWORKS, U.S. App. No. 09/019,938, the contents of which are herein incorporated by reference. Enhanced signal processing techniques may still be used for access requests in such an OFDM system according to the present invention.

Fig. 4 depicts a transmitter system 400 according to an alternative embodiment of the  
20 present invention. In transmitter system 400, there is no encoding or the same encoding processes are applied to both MAC data and non-MAC data so there is no need to depict the encoding processes. Instead, MAC data and non-MAC data use a different symbol alphabet for transmission. The symbol alphabet used for MAC data uses more widely spaced complex values

than the symbol alphabet used for non-MAC data. This provides the MAC data greater robustness against noise and interference at the expense of data carrying capacity.

A MAC processor 402 originates MAC data. A constellation mapper 404 assigns groups of bits to symbols selected from a symbol alphabet. A representative symbol alphabet is shown in Fig. 5A. Fig. 5A depicts a complex plane. Each symbol is represented as a dot at a position on the plane corresponding to its complex value. There are 16 symbols in the depicted symbol alphabet so that each time a symbol is selected for transmission, 4 bits of data are communicated.

A constellation mapper 406 assigns groups of bits of non-MAC data to symbols selected from an alternative symbol alphabet depicted in Fig. 5B. In the symbol alphabet depicted in Fig. 5B, there are 64 symbols so that each time a symbol is selected for transmission, 6 bits of data are communicated. It can be seen that the symbols in the alphabet of Fig. 5B are more closely spaced than the symbols in the alphabet of Fig. 5A. Thus, once noise and interference are superimposed on the symbols of Fig. 5B, it becomes more difficult for a receiver to distinguish symbols from one another and determine which symbol was in fact transmitted during any given time interval. The advantage of the symbol alphabet of Fig. 5B is that each symbol carries more information than in Fig. 5A, 6 bits as opposed to 4 bits.

A switch 408 switches between MAC data and non-MAC data under the control of MAC processor 402. A conversion system 410 then converts the selected complex symbol values to analog form and modulates a radio frequency (RF) carrier wave for transmission via an antenna 412.

It should be noted that the MAC data protection techniques of Fig. 3 and Fig. 4 may be combined in one system. Transmission of MAC data would then employ both higher redundancy channel coding and a more widely spaced symbol alphabet.

Fig. 6 depicts a receiver system 600 according to one embodiment of the present invention. A carrier wave is received via an antenna 602. A downconversion system 604 recovers a modulation waveform from the carrier wave and converts the modulation waveform to a discrete time series of complex symbols. A MAC/non-MAC switch 606 directs the received symbols according to whether MAC data or non-MAC data is scheduled to be received during the current time slot. The MAC data transmission schedule and operation of switch 606 is under the control of a MAC processor 608 that receives and interprets MAC message data. In the typical system that combines both a transmitter and a receiver, MAC processor 608 may be the same entity as MAC processor 402 in Fig. 4 or MAC processor 302 and thus also generate MAC messages for transmission.

A soft decision value estimator 610 estimates likelihood values for transmitted symbols and provides the values to a trellis decoder 612. Soft decision value estimator 610 bases its estimates on knowledge of the symbol alphabet for MAC data which may be less tightly packed than the symbol alphabet used for non-MAC data. Trellis decoder 612 then outputs an estimate of the data input to the MAC data convolutional coder on the transmit end. Trellis decoder 612 decodes according to the convolutional code employed at the transmit end for MAC data. A Reed-Solomon decoder 614 decodes according to the Reed-Solomon code applied to the MAC data at the transmit end to recover the MAC message data to pass along to MAC processor 608.

Similarly for the non-MAC data a soft decision value estimator 616 estimates likelihood values for transmitted symbols and provides the values to a trellis decoder 618. Trellis decoder 618 then estimates the data input to the non-MAC data convolutional coder on the transmit end in accordance with the transmission convolutional code. A Reed-Solomon decoder 620 then decodes according to the Reed-Solomon code applied to the non-MAC data at the transmit end.

According to the present invention, the decoding elements of receiver system 600 may operate differently for MAC data and for non-MAC data to match differences in the coding schemes applied at the transmit end.

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims and their full scope of equivalents. For example, MAC data may include power control information and other information relating to coordinating access to a common transmission medium in addition to scheduling messages and access requests. Also, interleaving techniques and parameters may also be varied for MAC data and non-MAC data. Also, although the present invention has been discussed in reference to wireless systems, embodiments of the present invention may also operate in a wired communication system such as a data over cable network. All publications, patents, and patent applications cited herein are hereby incorporated by reference.

## CLAIMS

What is claimed:

1. In a digital communication system employing a transmission medium shared among multiple users, a transmitter system comprising:

a first encoder that encodes data related to coordinating access to said transmission medium according to a first encoding scheme;

a second encoder that encodes data not related to coordinating access to said transmission medium according to a second encoding scheme; and

a control system that allocates transmission time between output of said first encoder and said second encoder; and

wherein said first encoding scheme introduces more redundancy than said second encoding scheme.

2. The transmitter system of claim 1 wherein said first encoding scheme and said second encoding scheme comprise convolutional encoding schemes and said first encoding scheme has a lower rate than said second encoding scheme.

3. The transmitter system of claim 1 wherein said first encoding scheme and said second encoding scheme comprise trellis encoding schemes and first encoding scheme has a lower rate than said second encoding scheme.

4. The transmitter system of claim 1 wherein said first encoding scheme and said second encoding scheme comprise block encoding schemes.



5. The transmitter system of claim 4 wherein said block encoding schemes comprise Reed-Solomon encoding schemes.

6. In a digital communication system employing a transmission medium shared among multiple users, a transmitter system comprising:

a first mapper that outputs complex symbol values falling on a first symbol constellation responsive to data relating to coordinating access to said transmission medium;

a second mapper that outputs complex symbol values falling on a second symbol constellation responsive to data not relating to coordinating access to said transmission medium; and

a control system that allocates transmission time between output of said first mapper and output of said second mapper; and

wherein complex symbol values of said first symbol constellation are spaced more widely than complex symbol values of said second symbol constellation.

7. In a digital communication system employing a transmission medium shared among multiple users, a receiver system comprising:

a first decoder that decodes data related to coordinating access to said transmission medium according to a first encoding scheme;

a second decoder that decodes data not related to coordinating access to said transmission medium according to a second encoding scheme; and

a control system that selects output of either said first decoder or said second decoder for reception; and

wherein said first encoding scheme introduces more redundancy than said second encoding scheme.

8. The receiver system of claim 7 wherein said first encoding scheme and said second encoding scheme comprise convolutional encoding schemes and said first encoding scheme has a lower rate than said second encoding scheme.

9. The receiver system of claim 7 wherein said first encoding scheme and said second encoding scheme comprise trellis encoding schemes and said first encoding scheme has a lower rate than said second encoding scheme.

10. The receiver system of claim 7 wherein said first encoding scheme and said second encoding scheme comprise block encoding schemes.

11. The receiver system of claim 7 wherein said block encoding schemes comprise Reed-Solomon encoding schemes.

12. In a digital communication system employing a transmission medium shared among multiple users, a method for transmitting comprising:

encoding data related to coordinating access to said transmission medium according to a first encoding scheme;

encoding data not related to coordinating access to said transmission medium a second encoding scheme; and

transmitting responsive to output by either said first encoder or said second encoder; and

wherein said first encoding scheme introduces more redundancy than said second encoding scheme.

13. The method of claim 12 wherein said first encoding scheme and said second encoding scheme comprise convolutional encoding schemes and said first encoding scheme has a lower rate than said second encoding scheme.

14. The method of claim 12 wherein said first encoding scheme and said second encoding scheme comprise trellis encoding schemes and said first encoding scheme has a lower rate than said second encoding scheme.

15. The method of claim 12 wherein said first encoding scheme and said second encoding scheme comprise block encoding schemes.

16. The method of claim 15 wherein said block encoding schemes comprise Reed-Solomon encoding schemes.

17. In a digital communication system employing a common transmission medium, a method for controlling transmission comprising:

transforming data into a modulation signal, said data comprising data relating to controlling access to said common transmission medium and data not relating to controlling access to said common transmission medium; and

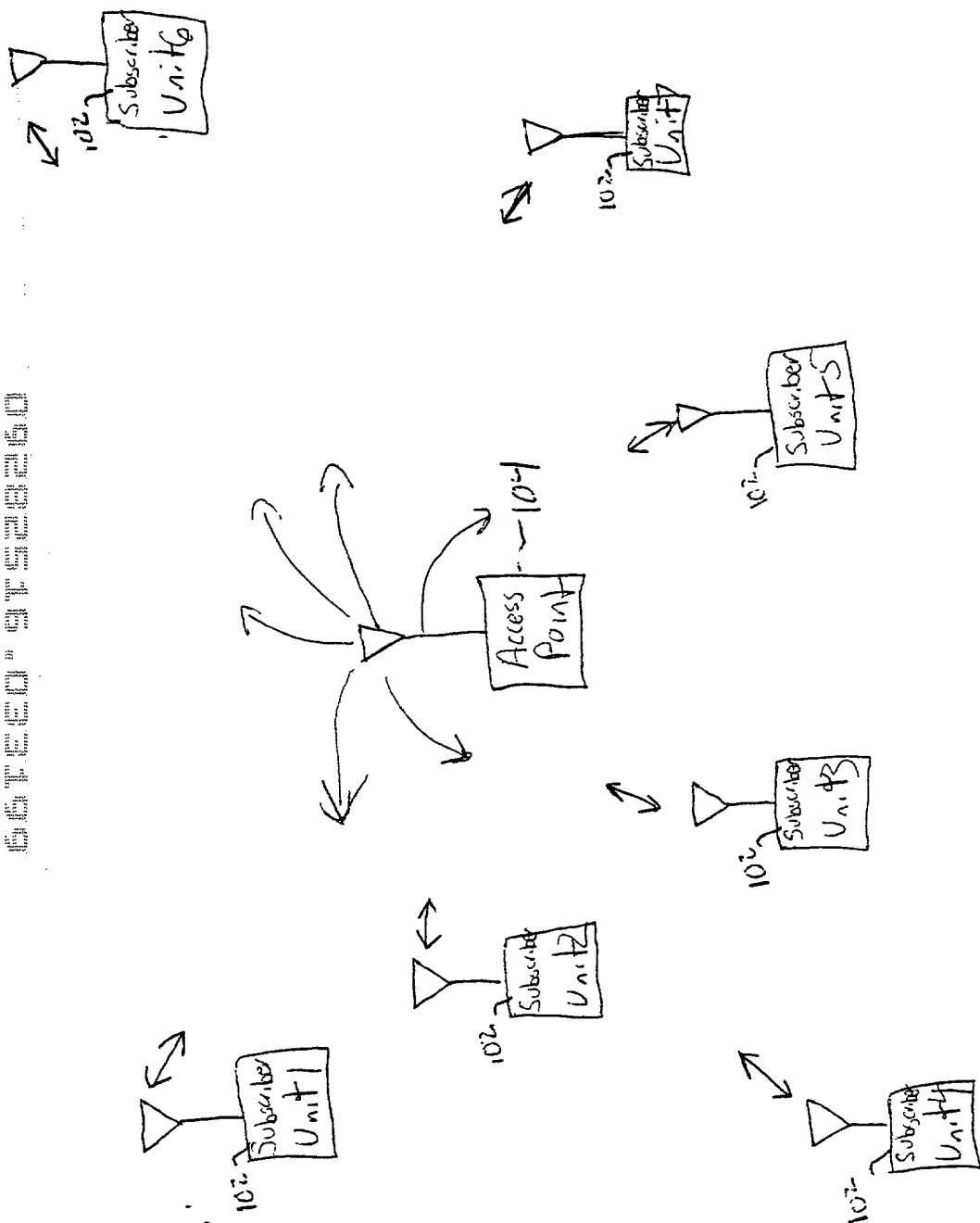
converting said modulation signal to an RF signal for transmission; and



**SYSTEMS AND METHODS FOR IMPROVED MEDIUM ACCESS CONTROL  
MESSAGING**

**ABSTRACT**

Systems and methods for communicating medium access control (MAC) data without errors. Different encoding processes may be used to encode MAC data and data unrelated to MAC. The encoding processes used for MAC data employ more redundancy and are therefore capable of transmitting data without errors in more severe conditions than the encoding processes used for non-MAC data. Both MAC data and non-MAC data may be represented as a series of so-called symbols where each symbol is a complex value used to modulate a sinusoidal carrier signal. MAC data transmissions may employ a set of symbols having more widely spaced complex values than the symbol set used for non-MAC data transmissions. While the receiver is receiving MAC data, it may more easily distinguish which symbols have been sent, even when the symbols have been heavily corrupted with noise and interference. Thus network operation may be properly coordinated even in the presence of severe degradation of data transmission quality.

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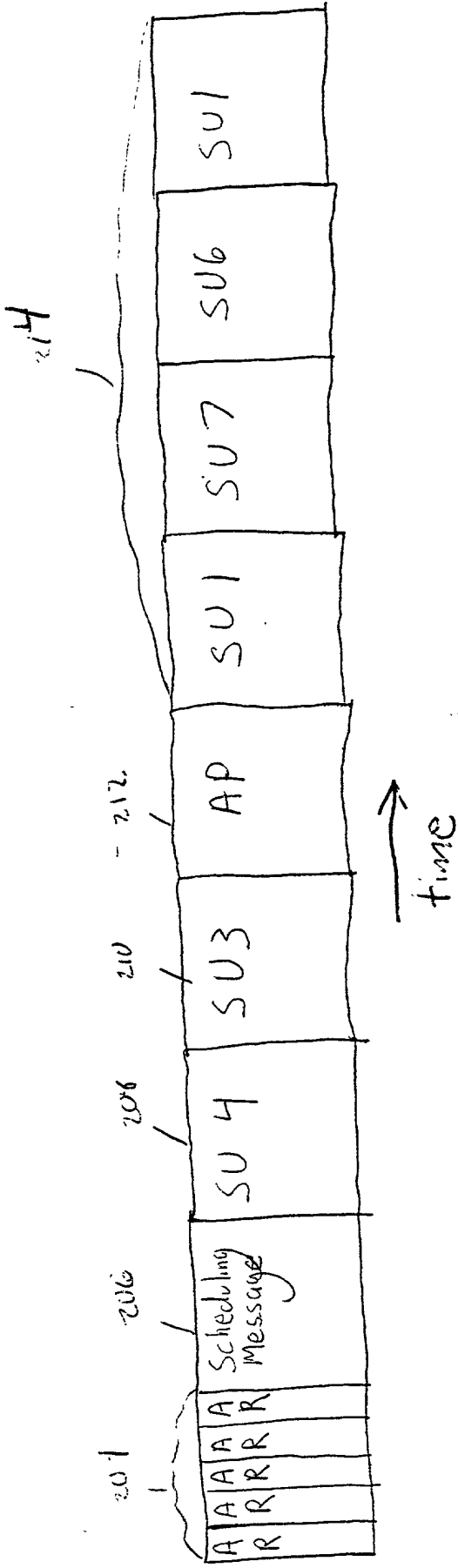


Fig. 2

# FIG. 3

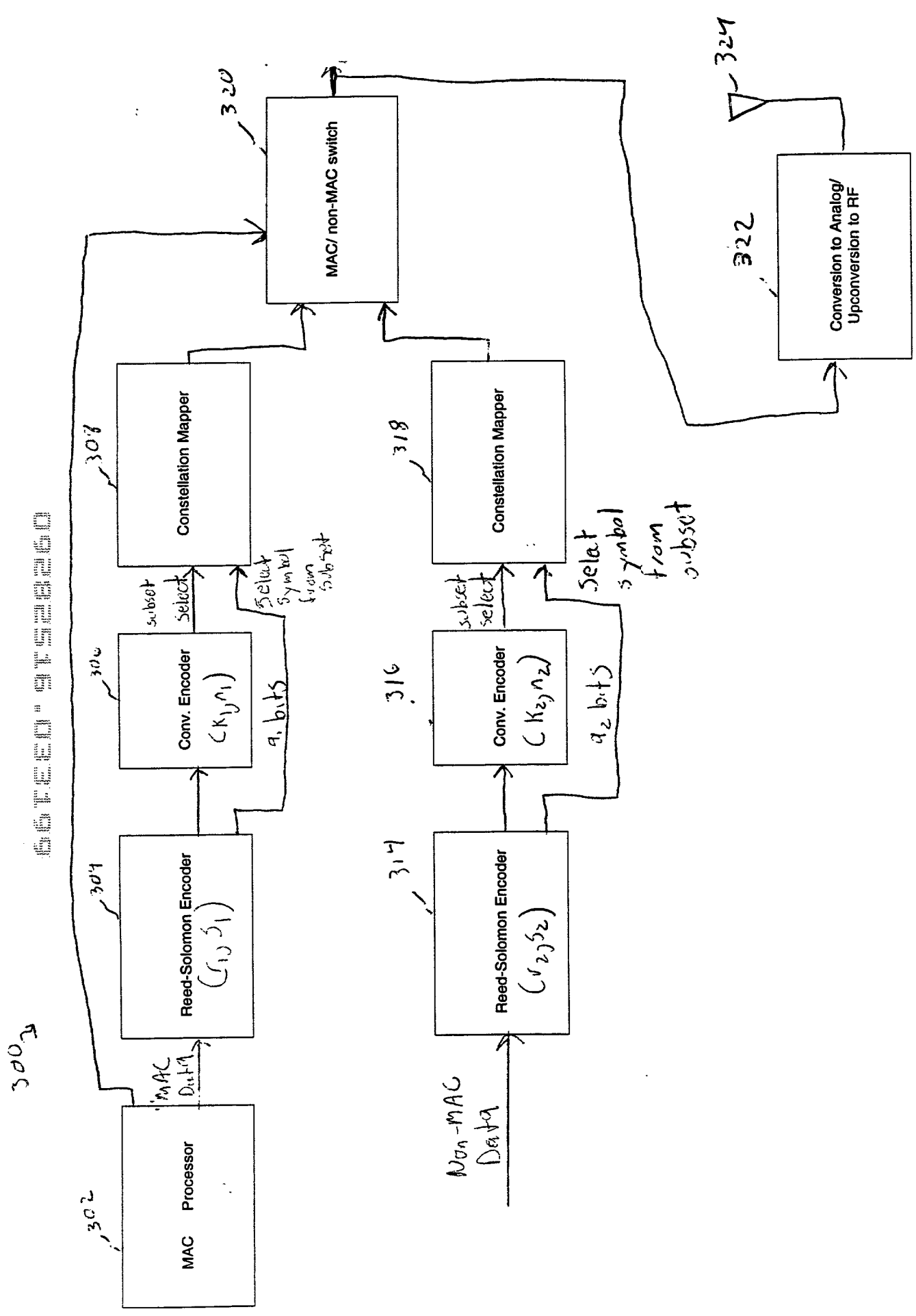


Fig. 3



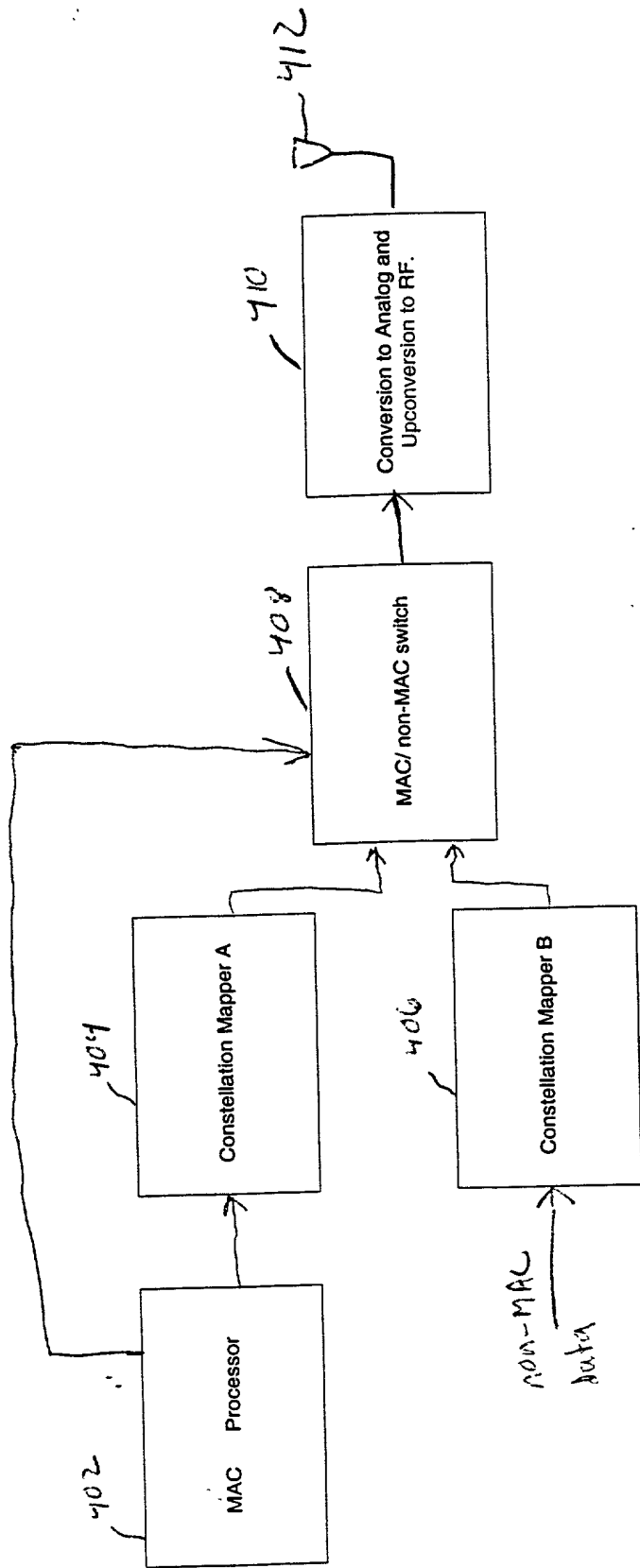


Fig. 4

657EE0-91522250

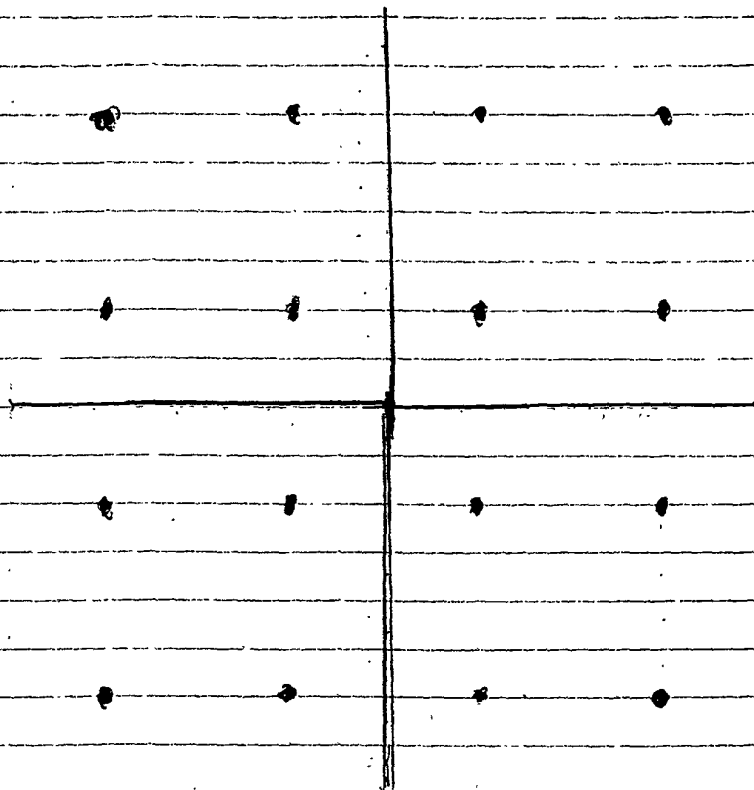


Fig. 5A

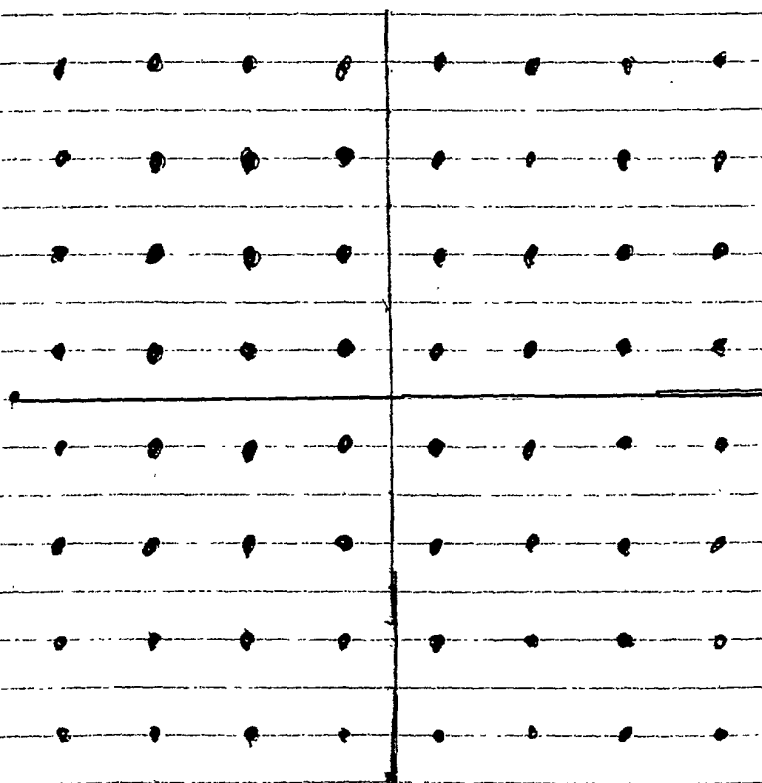


Fig. 5B

600 2

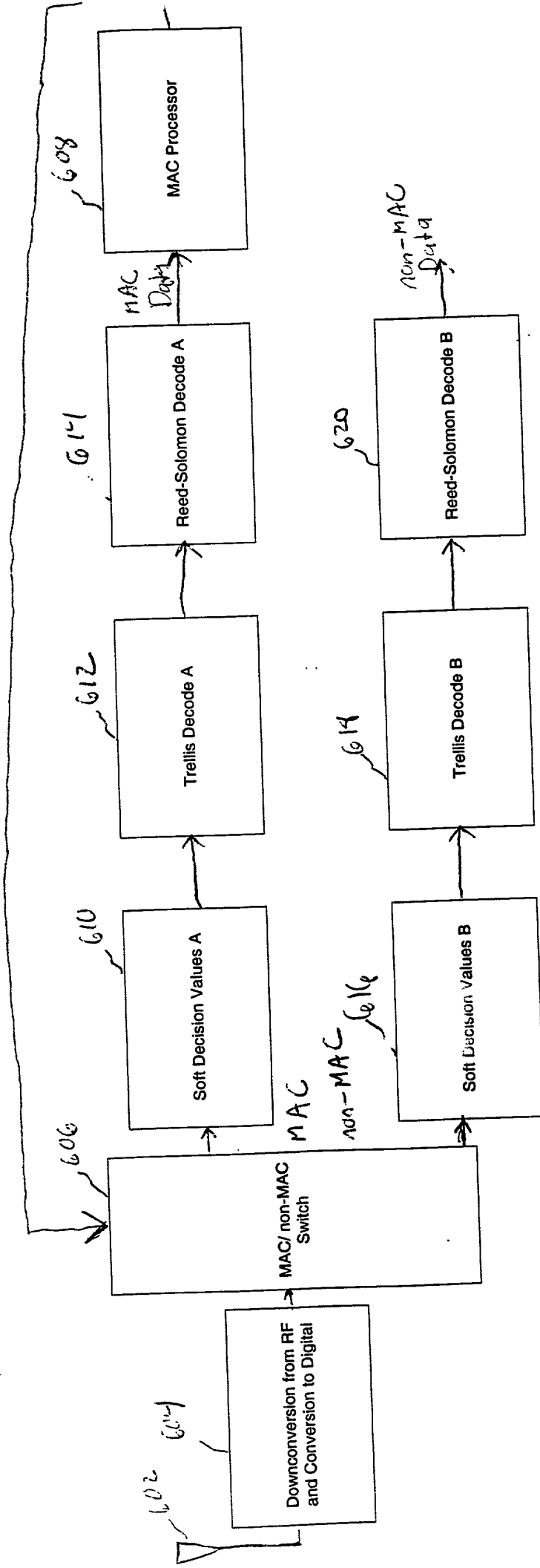


Fig. 6